

Dissemination and Implementation Science: What is it and Why is it critical to Translational Science?

Initiated by the Dissemination and Implementation Workgroup of the Collaboration Engagement Task Force within the Clinical Translational Science Award Program

National Institutes of Health





Institute of Translational Health Sciences



The Durtnerstly Childred and Translational Jointeen Institute







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Clinical & Translational Science Awards Program

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National Center for Advancing Translational Sciences

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Why a webcast on Dissemination and Implementation Science?

Laura-Mae Baldwin. MD, MPH

5 minutes

Dissemination & Implementation Science: Why and what?Enola Proctor, PhD15 minutes

Reversing Health Disparities in Complex Health Conditions through Implementation Science

Stephen Bartels, MD, MS

15 minutes

Question and Answers

25 minutes

Clinical & Translational Science Awards Program

Clinical Translational Science Award (CTSA) Program

Over 60 medical research institutions across the U.S. that work together to speed the translation of research discoveries into improved patient care.

□ Fostering innovative research

- □ Cultivating multi-disciplinary research partnerships
- Ensuring a pipeline of next-generation researchers through robust education and career development programs

Clinical & Translational Science Awards Program

D&I Science in the CTSA Program:

CTSA program defines translation as:

the process of turning observations in the laboratory, clinic and community into interventions that improve the health of individuals and the public—from diagnostics and therapeutics to medical procedures and behavioral changes.*

Complexity and challenges—research and operational-- become clear as translational research projects move from genes, proteins and cells in laboratory settings to real-world environments.*

*Christopher P. Austin, 2018, Nature

Hence, need for distinct scientific approaches

Dissemination & Implementation Science: Why and what?

Enola Proctor

Shanti Khinduka Distinguished Professor & Implementation Science Director Institute for Clinical and Translational Science Washington University in St. Louis \$95 billion spent on health research annually but translation to real-world benefit is:

- Incomplete
- •42% high quality care
- 30% spending unnecessary

- Protracted
- 17 years
- •14% of findings

The care that "could be" vs

The care that "is"

The "know" –"do" gap



Another 17 year quest

Dissemination and Implementation Science Imperatives: The Why?

Scientific:

 Identify and develop strategies to overcome barriers to the adoption, integration, scale-up, and sustainability of evidence based interventions, tools, policies, and guidelines

Public health:

- Recoup return on investment in life sciences and medical discoveries
- Extend benefits from prevention, early detection, and treatments to all populations

Ethical:

• Ensure that biomedical discoveries make a difference in people's lives

Dissemination

Targeted distribution of information and intervention materials to a specific public health or clinical practice audience. Intent: to spread knowledge and the associated evidence-based interventions.

Dissemination research

Scientific study of targeted distribution of information and intervention materials to a specific health audience.

Intent: to understand how best to spread and sustain knowledge and associated evidence-based interventions

Implementation

The adoption of evidencebased health interventions into clinical and community settings in order to improve patient outcomes and benefit population health.

Implementation research

The scientific study of HOW to adopt and integrate evidence-based health interventions into clinical and community settings in order to improve patient outcomes and benefit population health.

A Big Tent of Terms (and Circles)*



Health Services Research

Dissemination Research

Health Communication Research

Quality Improvement Science

QI

Implementation

Research

Implementation Science

^c The terms according to D.A.C.

Conceptual Model for Implementation



Proctor, Enola K., et al. "Implementation research in mental health services: an emerging science with conceptual, methodological, and training challenges." Administration and Policy in Mental Health and Mental Health Services Research 36.1 (2009): 24-34. June 8, 2016

What does dissemination research study?

Key variables:

audience and audience segmentation message types (data, narrative), communication channels information packaging, diffusion processes

Key outcomes:

information spread

awareness, acceptance, belief in evidence,

knowledge reception & retention, intent to act on information

What does implementation research study?

Key variables:

behavior of healthcare professionals and support staff healthcare organizations (culture/ context) healthcare consumers and family members policymakers in context as key variables implementation strategies and processes

Key outcomes:

sustainable adoption, implementation and uptake of evidencebased interventions

Features of D&I research

- Multilevel
- Cross-setting
- Transdisciplinary

Leverages expertise from:

- Health care professions (medicine, nursing, social work, psychology, pharmacy, rehab medicine)
- Comparative effectiveness and health outcomes researchers
- Practice managers
- Experts in informatics, org behavior, engineering, marketing, communications, & health policy

Scientific priorities for dissemination and implementation science

- More complete uptake of evidence-based interventions
- De-implementation of ineffective or suboptimal care
- Scale up & spread of effective interventions across health plans, systems, and networks
- Implementation of genomic testing into practice
- Sustainability/adaptation of effective practices in a changing health care context

GIM 2017

The current state of implementation science in genomic medicine: opportunities for improvement

Megan C. Roberts, PhD¹, Amy E. Kennedy, PhD, MPH¹, David A. Chambers, DPhil¹ and Muin J. Khoury, MD, PhD^{1,2}

Purpose: The objective of this study was to identify trends and gaps in the field of implementation science in genomic medicine.

Methods: We conducted a literature review using the Centers for Disease Control and Prevention's Public Health Genomics Knowledge Base to examine the current literature in the field of implementation science in genomic medicine. We selected original research articles based on specific inclusion criteria and then abstracted information about study design, genomic medicine, and implementation outcomes. Data were aggregated, and trends and gaps in the literature were discussed.

Results: Our final review encompassed 283 articles published in 2014, the majority of which described uptake (35.7%, n = 101) and preferences (36.4%, n = 103) regarding genomic technologies,

particularly oncology (35%, n = 99). Key study design elements, such as racial/ethnic composition of study populations, were underreported in studies. Few studies incorporated implementation science theoretical frameworks, sustainability measures, or capacity building.

Genetics

in Medicine

Conclusion: Although genomic discovery provides the potential for population health benefit, the current knowledge base around implementation to turn this promise into a reality is severely limited. Current gaps in the literature demonstrate a need to apply implementation science principles to genomic medicine in order to deliver on the promise of precision medicine.

Genet Med advance online publication 12 January 2017

SYSTEMATIC REVIEW

Key Words: dissemination; genomic medicine; implementation; precision medicine; translational research

- Less than 2% of studies incorporated implementation science frameworks or theories. Perhaps as a result, studies often neglected contextual factors that drive implementation science theory.
- The unit of analysis in >98% of published studies were the individual, rather than the provider or health system.
- The majority of published studies occurred within an academic medical center setting.
- Fewer than half of the included studies reported race/ethnicity data, challenging our ability to assess racial/ethnic disparities and the generalizability of study findings across subpopulations.
- The majority of studies were in oncology, likely reflecting the current evidence base in the field. However, as evidence accrues, research in other disease areas will be needed.
- Most studies were observational, many reporting barriers and facilitators for genomic medicine implementation.
- Few studies reported the use of collaborative processes (e.g., key stakeholders) and sustainability indicators

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Genomics and Population Health Action Collaborative



D&I research opportunities

NIH (National Institutes of Health) AHRQ (Agency for Health Research Quality) IOM (Institute of Medicine) WHO (World Healthy Organization) World Bank VA (Veterans Administration) AAMC (American Association of Medical Colleges) **PCORI** (Patient Centered Outcomes Research Inst)

Dissemination and Implementation Research in Health PAR # 18-007 (R01)

- National Cancer Institute (NCI) National Human Genome Research Institute (NHGRI) National Institute on Aging (NIA) National Institute on Alcohol Abuse and Alcoholism (NIAAA) National Institute of Allergy and Infectious Diseases (NIAID) Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD) National Institute on Deafness and Other Communication Disorders (NIDCD) National Institute of Dental and Craniofacial Research (NIDCR) National Institute on Drug Abuse (NIDA) National Institute of Environmental Health Sciences (NIEHS) National Institute of Mental Health (NIMH) National Institute of Neurological Disorders and Stroke (NINDS) National Institute of Nursing Research (NINR) National Center for Complementary and Integrative Health (NCCIH)
- Division of Program Coordination, Planning and Strategic Initiatives, Office of Disease Prevention (<u>ODP</u>)
- Office of Behavioral and Social Sciences Research (OBSSR)
- National Institute on Minority Health and Health Disparities (NIMHD)



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Center for Translation Research and Implementation Science

The Center for Translation Research and Implementation Science (CTRIS) plans, fosters, and supports research to identify the best strategies for ensuring successful integration of evidence-based interventions within clinical and public health settings, such as health centers, worksites, communities, and schools in the United States and abroad. These strategies will build on the successes in fundamental discovery science and early-stage translational research. They also will help tackle new challenges in late-stage T4 translational research—the phase in the translational research pathway that leads to general knowledge about implementing evidence-based interventions—that helps turn discoveries into improved health. On this page What We Do Related Scientific Focus Areas Related News and Events Contact Us

Funding opportunities: NCATS website

- Notice of Change of Application Due Date to PA-16-328 "Limited Competition: Administrative Supplements to Enhance Network Capacity: Collaborative Opportunities for the CTSA Program (Admin Supp)" (link is external) NOT-TR-18-017 - Posted Date: 01/30/2018
- <u>Collaborative Innovation Award, Clinical and Translational Science</u> Award (CTSA) Program (U01 Clinical Trial Optional) (link is external) PAR-18-244 · **Posted Date:** 11/21/2017
- Limited Competition: Exploratory CTSA Collaborative Innovation Awards (R21 Clinical Trial Optional) (link is external) PAR-18-245 · Posted Date: 11/21/2017
- •

CTSA

Clinical & Translational Science Awards Program

Funding opportunities: NCATS website

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- <u>Limited Competition: Exploratory CTSA Collaborative Innovation</u> <u>Awards (R21 Clinical Trial Optional) (link is external)</u> PAR-18-245 · **Posted Date:** 11/21/2017

EVENT

11th Annual Conference on the Science of Dissemination and Implementation in Health

Learn More

DATE & TIME December 3-5, 2018 LOCATION Renaissance Washington D.C.

11th Annual Conference on the Science of Dissemination and Implementation in Health

Call for Abstracts



Reversing Health Disparities in Complex Health Conditions through Implementation Science

Steve Bartels, MD, MS

Herman O. West Professor of Geriatrics, Professor of Psychiatry and Community & Family Medicine

Co-Director, SYNERY Community Engagement Core Co-PI CDC Health Promotion Research Center at Dartmouth







Health Promotion Research Center at Dartmouth (HPRCD)

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The Problem: An Epidemic of Premature Death in Middle-aged Persons with Mental Illness

The average life expectancy in the US has steadily increased to 77.9 years (increasing by almost 5 years since the 90s alone) At the same time......

Mentally ill die 25 years earlier, on average

By Marilyn Elias, USA TODAY

Adults with serious mental illness treated in public systems die about 25 years earlier than Americans overall, a gap that's widened since the early '90s when major mental disorders cut life spans by 10 to 15 years, according to a report due Monday.

For people with major mental illness: The average life expectancy is 53 yrs. "50 is the New 75"



The Hidden Health Disparity of Early Mortality for Patients with Major Mental Illness Mean Years of Potential Life Lost

Year	AZ	MO	OK	RI	TX	UT
1997		26.3	25.1		28.5	
1998		27.3	25.1		28.8	29.3
1999	32.2	26.8	26.3		29.3	26.9
2000	31.8	27.9		24.9		

Compared with the general population, persons with major mental illness lose 25-30 years of normal life span

Colton CW, Manderscheid RW. Prev Chronic Dis [serial online] 2006 Apr [date cited]. Available at: URL:http://www.cdc.gov/pcd/issues/2006/apr/05_0180.htm

Cardiovascular Disease Is Primary Cause of Death in Persons with Mental Illness



Average data from 1996-2000.

SA

Clinical & Translational

Science Awards Program

Colton CW, Manderscheid RW. Prev Chronic Dis [serial online] 2006 Apr [date cited]. Available at URL: http://www.cdc.gov/pcd/issues/2006/apr/05_0180.htm



Integrated Health Promotion and Health Behavior Change: In SHAPE

- Nurse Evaluation and Consultation
- Initial Fitness Assessment
 - Individualized fitness and healthy lifestyle assessment
- Individual Meetings with a "Health Mentor"
- Vouchers to Local Fitness Centers
- Individual and group nutrition education
- Smoking cessation referrals
- Group Education/Motivational "Celebrations"



Promoting Health and Functioning in Persons with SMI: CDC - R01 DD000140 (PI: Bartels) Health Promotion and Fitness for Younger and Older Adults With SMI: R01 MH078052-01 (PI: Bartels)

Clinical & Translational Science Awards Program

Clinically Significant Improved Fitness and Weight Loss Among Overweight Persons With Serious Mental Illness

Stephen J. Bartels, M.D., M.S. Sarah I. Pratt, Ph.D. Kelly A. Aschbrenner, Ph.D. Laura K. Barre, M.D. Kenneth Jue, M.S.S.A. Rosemarie S. Wolfe, M.S. Haiyi Xie, Ph.D. Gregory McHugo, Ph.D. Meghan Santos, M.S.W. Gail E. Williams, B.A. John A. Naslund, M.P.H. Kim T. Mueser, Ph.D.

PSYCHIATRIC SERVICES • ps.psychiatryonline.org • August 2013 Vol. 64 No. 8

1st RCT (n=133): At 12 months: <u>49%</u> in intervention group achieved either clinically significant increased fitness (>50 m on 6MWT) or weight loss (5% or greater)



Clinical & Translational Science Awards Program REPLICATION TRIAL: 2nd RCT Boston, Mass (Multiple Sites: n=210; half underserved minorities) <u>51% achieved either clinically significant increased fitness</u> (>50 m on 6MWT) or weight loss (5% or greater)

80%

Article

Pragmatic Replication Trial of Health Promotion Coaching for Obesity in Serious Mental Illness and Maintenance of Outcomes

Stephen J. Bartels, M.D., M.S.	Objective: Few studies targeting obesity in serious mental illness have reported clin-			
Sarah I. Pratt, Ph.D.	ically significant risk reduction, and none have been replicated in community set- tings or demonstrated sustained outcomes after intervention withdrawal. The au-			
Kelly A. Aschbrenner, Ph.D.				
Laura K. Barre, M.D.	thors sought to replicate positive health outcomes demonstrated in a previous ran- domized effectiveness study of the In			
John A. Naslund, M.P.H.	SHAPE program across urban community mental health organizations serving an ethnically diverse population.			
Rosemarie Wolfe, M.S.				
	Method: Persons with serious mental ill- ness and a body mass index (BMI) >25			
Haiyi Xie, Ph.D.	ness and a body mass index (BMI) > 25			
Haiyi Xie, Ph.D. Gregory J. McHugo, Ph.D.				
	ness and a body mass index (BMI) >25 receiving services in three community men- tal health organizations were recruited and randomly assigned either to the 12-month In SHAPE program, which included mem- bership in a public fitness club and weekly			
Gregory J. McHugo, Ph.D. Daniel E. Jimenez, Ph.D. Ken Jue, M.S.S.A.	ness and a body mass index (BMI) >25 receiving services in three community men- tal health organizations were recruited and randomly assigned either to the 12-month In SHAPE program, which included mem- bership in a public fitness club and weekly meetings with a health promotion coach, or to fitness club membership alone. The			
Gregory J. McHugo, Ph.D. Daniel E. Jimenez, Ph.D.	ness and a body mass index (BMI) >25 receiving services in three community men- tal health organizations were recruited and randomly assigned either to the 12-month In SHAPE program, which included mem- bership in a public fitness club and weekly meetings with a health promotion coach,			

Objective: Few studies targeting obesity assessed at baseline and at 3, 6, 9, 12, and in serious mental illness have reported clin-

Results: Participants (N=210) were ethnically diverse (46% were nonwhite), with a mean baseline BMI of 36.8 (SD=8.2). At 12 months, the In SHAPE group (N=104) had greater reduction in weight and improved fitness compared with the fitness club membership only group (N=106). Primary outcomes were maintained at 18 months. Approximately half of the In SHAPE group (51% at 12 months and 46% at 18 months) achieved clinically significant cardiovascular risk reduction (a weight loss \geq 5% or an increase of >50 meters on the 6-minute walk test).

Conclusions: This is the first replication study confirming the effectiveness of a health coaching intervention in achieving and sustaining clinically significant reductions in cardiovascular risk for overweight and obese persons with serious mental illness.

(Am | Psychiatry 2014; 00:1-9)



■ In SHAPE

Fitness Club Membership and Education



The Challenge of Implementing Evidence-Based Interventions from Clinical Trials in Real World Settings

Replicability



Voltage Drop





It's Hard Enough to Change Health Behaviors.....

But Even Harder to Change the Behavior of Organizations....

What does it take to implement health promotion?

(especially when it is <u>not</u> in the mission, competency, scope of practice, or financing of a health care organization)



Can Behavioral Health Organizations Change Health Behaviors? Rediscovering the Neck







Clinical & Translational Science Awards Program

Statewide Learning Collaborative to Reduce Early Mortality in Persons with Mental Illness (NIMH R01 MH089811)

- Statewide Learning Collaborative including all 10 regional mental health centers with Monthly Meetings including program leaders and In SHAPE Health mentors
- Aggregate implementation outcomes and nested 4-site comparison design
- 1) Person-level outcomes
- 2) Provider-level outcomes
- 3) System-level outcomes


Weight/Fitness Cardiovascular Risk Reduction by Site

(% with either **>** 5% weight loss or increase of **>** 50 meters on 6 minute walk test)



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What Will it Take to Implement and Spread this Preventive Intervention Nationally?

What is the most effective implementation strategy?





Health Promotion Research Center at Dartmouth (HPRCD)

(linical & Iranslational Science Awards Program

Approach to Implementing a New Practice that Requires a Significant Change in Organizational Culture? 48 mental health organizations: Three phases of Randomized **16 organizations** over two years Estimated Patient **Participants:** 2400

R01MH102325, PI: Bartels

Virtual Learning Collaborative Coaching organizations to work together to share processes and

Are Learning Collaboratives the Most Effective

outcomes, engage in group problem solving, and apply systems improvement

Training and Individual Technical Assistance

Individually tailored, phone-based implementation technical assistance



Site Selection

Sites selected for each phase to achieve an optimal mix of the following:

- Organizational readiness
- Geographic distribution
- Diversity of patient population
- Organizational size
- Urban vs. rural



Participation Over 24 Months

Learning Collaborative (18 months) Ongoing engagement with research team (until 24 months

Individual Technical Assistance (18 months) Ongoing engagement with research team (until 24 months)



Prediction Model for InSHAPE Study

Organizational Factors

Funding, Prioritization, Leadership, Workforce Development, Workflow Re-engineering Reinforcement

Implementation Strategies

Technical Assistance

or Learning Collaborative

Fidelity to InShape Model

Health Outcomes (BMI, 6-minute walk, etc.)

DARTMOUTH'S IN SHAPE IMPLEMENTATION STUDY

Home > Healthcare Training & Development Courses > Dartmouth's In SHAPE Implementation Study



In SHAPE Implementation Study

INTEGRATING HEALTH PROMOTION FOR OBESITY IN MENTAL HEALTH ORGANIZATIONS Download Frequently Asked Questions

Watch a recording of our In SHAPE Informational Webinar

Applications for the In SHAPE Implementation Study are not being accepted at this time.

The Problem: People with serious mental illness (SMI) in publically funded mental health organizations have a reduced life-expectancy of 25-30 years compared to the general population. Obesity and tobacco use are major causes of this dramatic health disparity. Obesity rates are twice as prevalent among persons with SMI compared to those without SMI — placing this high-risk, high-cost group at substantial risk for diabetes and cardiovascular disease.

The Project: Through a competitive application process, 48 mental health organizations from across the United States will be selected to implement **In SHAPE** within their organizations — a wellness program designed to improve the physical health of people with serious mental illness, and participate in a research study to advance understanding of how to better address the physical health needs of individuals with serious mental illness.

NATI NAL COUNCIL FOR BEHAVIORAL HEALTH STATE ASSOCIATIONS OF ADDICTION SERVICES Stronger Together.

In 2014, the National Institute of Mental Health funded Dr. Steve Bartels and Dartmouth College team to conduct a hands-on initiative to determine how to best implement evidence-based health promotion to decrease obesity and reduce cardiovascular risk for persons with mental illness. Half of the participating organizations selected for this study will receive training and individual technical assistance for 12 months, and half will receive training and implementation support by participating in a learning collaborative for 18 months.

Benefits to Participating Organizations: The organizations selected to participate will receive (*at no cost*) —

- » Training in the In SHAPE Program;
- Personal trainer certification reimbursement for one designated Health Mentor at a local or online AAFA, NASM, ACE, or ACSM chapter;
- Implementation support through expert technical assistance, and ongoing weekly Health Mentor supervision;
- An iPad to assist the Health Mentor in tracking participant progress and program outcomes.

For more information on In SHAPE:

- >> Visit: www.kenjue.com/In SHAPE
- >> Watch: youtube.com/watch?v=R3Ky2baotko&feature=youtu.be

Have additional questions or want more information? Contact Nina Marshall, Director of Public Policy, at NinaM@TheNationalCouncil.org.

http://www.thenationalcouncil.org/training-courses/dartmouths-shape-implementation-study/

Clinical & Translational Science Awards Program

Implementation Project Cohort I (2015 – 2017) & Cohort II (2016 – 2018)



Clinical & Translational Science Awards Program



From Community Need to Effectiveness and Implementation Research Identification **Development of** Community of Need, **InSHAPE** Model Community Development (2002) Coalition Academic **Effectiveness RCT** Research Effectiveness Studies Partnership, (CDC, NIMH) Research 1st Pilot Study (2006-2012)(2004 - 2009)**Statewide** Nationwide Implementation Implementation Implementation Study (2009-2014); Project **Statewide Medicaid** Research **Incentives Grant** (2014 - 2018)(2011 - 2016)

"Translational" Health Care Delivery Science



CTSAs: From Discovery to Translational Implementation Team Science

"Cross-disciplinary team science...a promising approach to accelerate scientific innovation and the translation of scientific findings into effective policies and practices."

National Cancer Institute Team Science Toolkit

Examples of Dissemination and Implementation Research Across the Disease and Translational Spectrum

National Institutes of Health (NIH)

CTSA

Clinical & Translational Science Awards Program

> National Cancer Institute (NCI) National Heart, Lung, and Blood Institute (NHLBI) National Human Genome Research Institute (NHGRI) National Institute on Aging (NIA) National Institute on Alcohol Abuse and Alcoholism (NIAAA) National Institute of Allergy and Infectious Diseases (NIAID) Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD) National Institute on Deafness and Other Communication Disorders (NIDCD) National Institute of Dental and Craniofacial Research (NIDCR) National Institute on Drug Abuse (NIDA) National Institute of Environmental Health Sciences (NIEHS) National Institute of Mental Health (NIMH) National Institute of Neurological Disorders and Stroke (NINDS) National Institute of Nursing Research (NINR) National Institute on Minority Health and Health Disparities (NIMHD) National Center for Complementary and Integrative Health (NCCIH)

Division of Program Coordination, Planning and Strategic Initiatives, Office of Disease Prevention (ODP)

Office of Behavioral and Social Sciences Research (OBSSR)

Dissemination and Implementation Research in Health (R01)

- Implementing and interpreting genomic screening
- Adoption of biomarker-based treatment algorithms
- Bioinformatics and predictive modeling
- Hybrid effectiveness implementation clinical trails
- mHealth Technology
- Global Health

CTSA Clinical & Translational Science Awards Program

CTSAs: From Discovery to Translational Implementation Science

- 1) Implementation Science enhances the impact of Discovery Science by advancing uptake. Only a fraction of evidence-based practices and research findings are applied in routine health care.
- 2) Implementation Science improves the process of Discovery Science. The impact of research can be increased when downstream application, usability, and scalability inform the design.
- 3) Implementation Science accelerates the pace of improving health care and health outcomes from research. On average, it takes <u>17 years</u> from discovery to implementation in routine practice.
- **4)** Implementation Science supports the CTSA's role engaging in state and regional partnerships and public health impact. Community-engaged research and partnerships are common components of high impact implementation science .
- 5) CTSA's are uniquely positioned to advance the development of the next generation of Translational Implementation Team Scientists. A key workforce component to enhance the future public health impact of the nation's Clinical Translational Science Institutes.



Questions?